

Learn about how to become a Financial / Investment Professional

We are looking for motivated individuals who want to maximize their potential.

Learn about a Financial / Investment Professional career that offers you:

Impact

Make a meaningful difference in the lives of clients

Growth

Opportunities for career advancement

Income

Base salary and incentives aligned with your performance

The ideal Candidate will possess the following skills:

- Professionalism in communication and approach to problem solving
- Relationship management committed to establishing long-term clients
- Performance driven with an entrepreneurial personality
- Ability to listen, understand, and provide confident feedback
- Passion for client service
- Pass a background check



PARTICIPATING EMPLOYERS:



EARN | LEARN | WORK

**RSVP to attend one of our
open house sessions
and interact with participating
employers.**

- Learn about the average day.
- Ask questions about the profession.
- Get Series 7 course registration information.
- Qualify for a fully funded training program.

RSVP for October 12: <http://bit.do/Series712>

RSVP for October 13: <http://bit.do/Series713>

**THURSDAY, OCTOBER 12
6 PM - 7:30 PM**

RSVP for October 12: <http://bit.do/Series712>

Juniper Library, City of Phoenix
1825 W Union Hills Dr. - Phoenix, AZ 85027

or

**FRIDAY, OCTOBER 13
9 AM - 10:30 AM**

RSVP for October 13: <http://bit.do/Series713>
City of Phoenix Business & Workforce Development Center
301 N 1st Avenue, 6th Floor - Phoenix, AZ 85003

For more information contact
(602) 256-3147 or email business.workforce.center@phoenix.gov

**TENTATIVE COURSE START DATE IS
NOVEMBER 6, 2017**

Equal Opportunity Employer/ Program

Auxiliary Aids and services available upon request to individuals with disabilities, please contact 602-262-6776 or City TTY Relay/ 602-534-5500 as early as possible to coordinate needed arrangements.

In partnership with:



Enrolling Now Series 7 License Test Prep

Kaplan's most comprehensive study package, includes everything you need to effectively prepare, practice, and perform on your series exam. This package includes a recorded version of our classroom lectures eliminating the constraints of attending scheduled classroom delivery. This choice allows you to prepare with our classroom content at recommended times during your study program, and then provides access to review it at any time you want, and as many times as you want.

Practice in areas of weakness by taking custom quizzes after each unit with the SecuritiesPro™ QBank. Finally, test your performance with our realistic Practice and Mastery Exams to measure your readiness for the actual exam.

The following is a sample of topics you will learn:

| | | |
|----------------------|--------------------|----------------------------|
| Equity Securities | Customer Accounts | Retirement Plans |
| Debt Securities | Margin Accounts | Variable Annuities |
| Municipal Securities | Trading Securities | Ethics, Tax, & Regulations |



8 WEEK PROGRAM OUTLINE

- Study calendar
- License exam manual
- Online class
- Class Notes
- SecuritiesPro™ QBank
- Video library access
- Checkpoint exams
- Midterm exam
- Practice exam
- Mastery exam
- Exam tips and content updates
- InstructorLink™

WHAT IS IN IT FOR YOU AND EXPECTATIONS

- Guided 8 week test Series 7 test preparation
- Opportunity for weekly remote tutoring each Friday
- A series of practice exams are provided allowing you to build confidence for taking the FINRA exam
- Open houses events will be offered throughout the program providing an opportunity to network with participating employers at their office
- Opportunity to interview with leading financial companies
- Once the 8 week program has successfully been completed and after you have been hired, you will sit for the FINRA test

PARTICIPATING EMPLOYERS



NEXT STEPS

STEP ONE:

RSVP to attend one of our open house sessions providing an opportunity to network with hiring companies. RSVP for October 12: <http://bit.do/Series712>
RSVP for October 13: <http://bit.do/Series713>

STEP TWO:

ARIZONA@WORK Team will verify your eligibility to receive tuition funding. After approval of funding, our team will assist you with the final enrollment process into the Series 7 Program.

STEP THREE:

Successfully complete your course work with the potential to interview with leading financial companies.

EARN | LEARN | WORK